



A C and E PI & Liability Underwriting Managers (Pty) Ltd

7th Floor Office Tower, Bedford Centre, Smith Street, Bedfordview
P O Box 752189, Gardenview, 2047, Republic of South Africa

Company Registration Number: 2013/145635/07
VAT Registration Number: 4090266018

Tel: 011 615 7529 Fax: 011 615 9360 Website: www.engineeringace.co.za

Licensed Financial Service Provider 45553

DIRECTORS AND OFFICERS PROPOSAL FORM (ANNUAL)

CAUTIONARY NOTE

Please answer all questions FULLY. This Proposal Form will be read in conjunction with the Brokers Notes to Underwriters. Failure to answer all applicable questions accurately could result in a claim being repudiated due to a non-disclosure of material information.

Signature of this Proposal does not bind the Proposer / Insurers to complete the Insurance.

OUR POLICIES ARE ISSUED AND ACCEPTED ON A 'CLAIMS MADE' BASIS

The policy will only respond to claims and/or circumstances, which are first made against the Insured and notified to the Insurer during the policy period. **The policy will not provide cover for:-**

- Events that occurred prior to the retroactive date of the policy.
- Claims notified or arising out of facts or circumstances notified under any previous policy or noted on the current proposal form or any previous proposal form.
- Claims made, threatened or intimated prior to the commencement of the policy period.
- Facts or circumstances in your knowledge prior to the policy period, which you knew had the potential to give rise to a claim under the policy.

- Before you return this form, please include your **Latest annual financial statements**
- If you require additional space to answer a question please attach a separate sheet with the information.

Section A – General Information:

1. Name of Applicant / Company:

2. Address of the Company:
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Telephone No: email address:

Internet/Website address:
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3. Company Registration No:

Company VAT No:

Managing Director: Anne-Marie Fourie



4. Names of Principal / Partner / Director to be covered:

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.....
.....

5. Nature of the Business activities:

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.....
.....

6. How long has the Applicant / Company carried on business:

Section B – Shareholding of Applicant/Company and/or subsidiaries:

- 7. Listed on any Stock Exchange: Yes/No
- 8. Listed on any unlisted market: Yes/No
- 9. Traded in other ways: Yes/No

If Yes to any of the 3 question above, please elaborate below:

On which Stock Exchange:

When were you listed:

Type of security:

Share Value

Total number of shares traded:.....

10. Number of Shares Issued:

11. Total number of Shareholders:

12. Direct and Beneficial (number of shares owned by directors):

13. Class of Shares:

14. Shareholders owing directly or beneficially 5% or more of the shares of the applicant / company. Please can we have Names and percentage share held below:

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.....
.....

Managing Director: Anne-Marie Fourie



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Section C- Material Information:

15. Any Acquisitions or mergers during the past year and any pending for the forthcoming year:

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16. Please can we have Revenue and assets of the applicant / Company and all subsidiaries (incorporated outside RSA by specific country of incorporation)

Entity Name and Country incorporated	Business Activity	Revenue	Assets

17. Have you had Directors and Officers Liability insurance previously or currently insured: Yes/No

- If Yes:
- (1) Name of Insurers:
 - (2) Limit of indemnity:
 - (3) Current premium:
 - (4) Retroactive Date:
 - (5) Policy Period: From: To:
 - (6) If cancelled, why:

18. After enquiry are any of the Directors past or present aware of any:

- (1) Claims made against them Yes/No
- (2) Circumstance which may give rise to a claim Yes/No
- (3) Incident which may give rise to a claim Yes/No

- If Yes to any of the above please can we have details (circumstances; quantum)

19. What Limits would you like us to quote:

20. Would you like outside directorship coverage: Yes/No

- If Yes, please can we have names of the Directors and Entity Names

Managing Director: Anne-Marie Fourie



Section D- Important Declaration

I/ we hereby declare that the statements and particulars in this application are true and complete and that at the present time, other than stated above, I/we have no reason to anticipate any claim being brought against me / us, that might constitute a claim under the insurance now being requested. I / we agree that this Proposal and Declaration be the basis of the contract between me/us and the Insurers. I/ we undertake to inform the Insurer of any material alteration to these facts whether occurring before or after completion of the contract of insurance.

Date _____

Signature of Principal / Partner / Director

Name of Signatory (Please Print)

Managing Director: Anne-Marie Fourie

